

## INVENTOR DECLARATION

Attorney Docket No. P00798US

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "Methods and Apparatus Desorption and Ionization Analytes", the specification of which

(check one)  is attached hereto with enclosed preliminary amendment.  
 was filed on \_\_\_\_\_ as Application Serial No. or PCT international application No. [ ]  
 and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

			Priority Claimed	
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

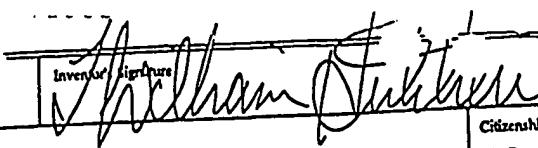
(Application Serial No.)	(Filing Date)

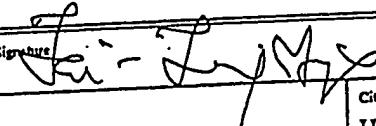
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or § 365(b) of any PCT international application designating the United States of America, listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. or PCT international application in the manner provided by the first paragraph of Title 35, U.S.C. §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. 08/068,896 (Application Serial No.)	May 28, 1993 (Filing Date)	Pending (Status) (patented, pending, abandoned)
		(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full Name of Sole or First Inventor William Hutchens	Inventor's Signature 	Date 6/09/99
Residence 18 Carriage Court Los Altos, California 94022	Citizenship U.S.	
Post Office Address Same as above		

Full Name of Second Inventor Tai-Tung Yip	Inventor's Signature 	Date 6/11/99
Residence 7515 Kingsbury Court Cupertino, California 95014	Citizenship Hong Kong	
Post Office Address Same as above		

Full Name of Third Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

Full Name of Fourth Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

Full Name of Fifth Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

Full Name of Sixth Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

Full Name of Seventh Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

Full Name of Eighth Inventor	Inventor's Signature	Date
Residence	Citizenship	
Post Office Address		

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**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
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Washington, D.C. 20231

DATE: 09/29/93  
TO:

N06B

W. RONALD ROBINS  
VINSON & ELKINS, L.L.P.  
2712 FIRST CITY TOWER  
1001 FANNIN STREET  
HOUSTON, TX 77002-6760

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OCT 29 1993

WRR

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

SIGNOR:  
HUTCHENS, T. WILLIAM

DOC DATE: 05/28/93

ASSIGNOR:  
YIP, TAI-TUNG

DOC DATE: 05/28/93

RECORDATION DATE: 09/01/93 NUMBER OF PAGES 004 REEL/FRAME 6674/0070

DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

ASSIGNEE:  
BAYLOR COLLEGE OF MEDICINE  
ONE BAYLOR PLAZA  
HOUSTON, TX 77030

SERIAL NUMBER 8-068896 FILING DATE 05/28/93  
PATENT NUMBER ISSUE DATE 00/00/00

*Lucy Stevenson*  
EXAMINER/ PARALEGAL  
ASSIGNMENT BRANCH  
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

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OCT 25 1993

Docket Sec.  
VINSON & ELKINS

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## PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## (1) Name of conveying party(ies):

T. William Hutchens  
Tai-Tung YipAdditional name(s) of conveying party(ies) attached?  Yes  No

## (3) Nature of conveyance: 19

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

## (2) Name and address of receiving party(ies)

Name: Baylor College of Medicine

Internal Address: \_\_\_\_\_

Street Address: One Baylor Plaza

City: Houston State: TX ZIP: 77030

Additional name(s) & address(es) attached?  Yes  No

## (4) Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
08/068,896

## B. Patent No.(s)

Additional numbers attached?  Yes  No

## (5) Name and address of party to whom correspondence concerning document should be mailed:

W. Ronald Robins  
Name: \_\_\_\_\_  
Internal Address: Vinson & Elkins, L.L.P. \_\_\_\_\_Street Address: 2712 First City Tower  
1001 Fannin Street

City: Houston State: TX ZIP: 77002-6760

## (6) Total number of applications and patents involved: 1

(7) Total fee (37 CFR 3.41).....\$ 40.00

 Enclosed Authorized to be charged to deposit account

## (8) Deposit account number:

22-0365

(Attach duplicate copy of this page if paying by deposit account)

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## (9) Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

W. Ronald Robins

Name of Person Signing

W. Ronald Robins

Signature

4

Date

Total number of pages including cover sheet, attachments, and document:

011667418M070

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ASSIGNMENT

WHEREAS WE, T. WILLIAM HUTCHENS, of Houston, Texas, a citizen of the United States of America; and TAI-TUNG YIP, of Houston, Texas, a citizen of Hong Kong and a resident of the United States of America have invented several new and useful improvements in METHODS AND APPARATUS FOR DESORPTION AND IONIZATION OF ANALYTES for which we have made application for Letters Patent of the United States based thereon, executing the Declaration therefor on the 28th day of May, 1993, and

WHEREAS BAYLOR COLLEGE OF MEDICINE duly organized under the laws of the State of Texas, and having a place of business at One Baylor Plaza, Houston, Texas 77030, is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for and in consideration of the sum of ONE DOLLAR to us in hand paid, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we agree to and do hereby sell, assign and transfer to said Baylor College of Medicine, its successors and assigns, all our right, title, and interest throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said application and the invention disclosed therein, including divisional, continuation or reissue applications based in whole or in part on said United States application or in whole or in part on said invention, and any foreign applications based in whole or in part on any of the aforesaid United States applications or in whole or in part on said invention, and all patents (including extensions thereof) of any

REF 6674 NAME 71

country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said Baylor College of Medicine.

**EXECUTED at Houston, Texas on the dates indicated below.**

William Hutchens  
T. WILLIAM HUTCHENS

May 28, 1993  
**DATE OF SIGNING**

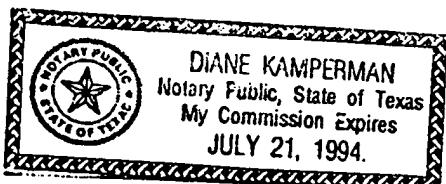
  
TAI-TUNG YIP

May 28,

THE STATE OF TEXAS  
COUNTY OF HARRIS

BEFORE ME, the undersigned authority, on this day personally appeared T. WILLIAM HUTCHENS, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 28th day of May, 1993.



Diane Kengeman  
Notary Public in and for  
the State of Texas

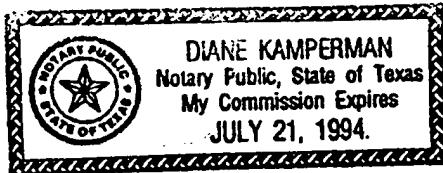
THE STATE OF TEXAS

§  
§  
§

COUNTY OF HARRIS

BEFORE ME, the undersigned authority, on this day personally appeared TAI-TUNG YIP, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN under my hand and seal of office this 28th day of May, 1993.



Diane Kamperman  
Notary Public in and for  
the State of Texas

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